



PNC Capital Advisors, LLC  
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Fifth Floor - East  
Baltimore, MD 21202  
[www.pnccapitaladvisors.com](http://www.pnccapitaladvisors.com)

Form ADV Part 2B  
November 17, 2023

***Taxable Fixed Income Management Team***

Sean T. Rhoderick, Chief Investment Officer  
Cynthia D. Cole, Senior Portfolio Manager  
John Graziani, Senior Portfolio Manager  
Mark A. Lozina, Senior Portfolio Manager  
Richard P. Mears, Senior Portfolio Manager  
Jeffrey Neer, Client Portfolio Manager

**This brochure supplement provides information about Mr. Rhoderick, Ms. Cole, Mr. Graziani, Mr. Lozina, Mr. Mears, and Mr. Neer that supplements the PNC Capital Advisors, LLC brochure. You should have received a copy of that brochure. Please contact Lisa Barnett at 216.222.8268 if you did not receive the PNC Capital Advisors, LLC brochure or if you have any questions about the contents of this supplement.**

**Additional information about these individuals is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Educational Background and Business Experience

Mr. Sean T. Rhoderick, CFA<sup>1</sup>  
Born 1972  
B.S., James Madison University

PNC Capital Advisors, 2004 to Present

## Item 3 Disciplinary History

There are no disciplinary items to disclose.

## Item 4 Other Business Activities

Mr. Rhoderick has no investment-related business activities other than his employment at PNC Capital Advisors, LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

Mr. Rhoderick does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors, LLC for providing advisory services to clients of the firm.

## Item 6 Supervision

Mr. Alistair Jessiman, President and CEO of PNC Capital Advisors, LLC, supervises Mr. Rhoderick. Mr. Jessiman is responsible for the supervision of the firm. The activities of all supervised persons, including Mr. Rhoderick, are subject to the firm's internal controls and compliance policies and procedures. Mr. Jessiman may be contacted at (216) 222-3921.

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<sup>1</sup> The CFA (Chartered Financial Analyst) designation is issued by the CFA Institute. Candidate must meet one of the following prerequisites: undergraduate degree and 4 years of professional experience involving investment decision-making, or 4 years qualified work experience (full time, but not necessarily investment related) and are required to pass examinations for all 3 levels.

## Item 2 Educational Background and Business Experience

Ms. Cynthia D. Cole  
Born 1956  
B.S., Tri-State University  
M.B.A., Xavier University

PNC Capital Advisors, 1999 to Present

## Item 3 Disciplinary History

There are no disciplinary items to disclose.

## Item 4 Other Business Activities

Ms. Cole has no investment-related business activities other than her employment at PNC Capital Advisors, LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

Ms. Cole does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors, LLC for providing advisory services to clients of the firm.

## Item 6 Supervision

Mr. Sean Rhoderick supervises Ms. Cole. Mr. Rhoderick's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Ms. Cole in her capacity as Insurance Portfolios Lead Manager. Mr. Rhoderick may be contacted at (216) 222-3921.

## Item 2 Educational Background and Business Experience

Mr. John Graziani, CFA<sup>2</sup>

Born 1977

B.B.A., University of Notre Dame

M.B.A., University of Chicago

PNC Capital Advisors, 2006 to Present

## Item 3 Disciplinary History

There are no disciplinary items to disclose.

## Item 4 Other Business Activities

Mr. Graziani has no investment-related business activities other than his employment at PNC Capital Advisors, LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

Mr. Graziani does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors, LLC for providing advisory services to clients of the firm.

## Item 6 Supervision

Mr. Sean Rhoderick supervises Mr. Graziani. Mr. Rhoderick's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Mr. Graziani in his capacity as Long Duration Portfolios Lead Manager as well as the effectiveness of the Quantitative and Risk Analytics team. Mr. Rhoderick may be contacted at (216) 222-3921.

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<sup>2</sup> The CFA (Chartered Financial Analyst) designation is issued by the CFA Institute. Candidate must meet one of the following prerequisites: undergraduate degree and 4 years of professional experience involving investment decision-making, or 4 years qualified work experience (full time, but not necessarily investment related) and are required to pass examinations for all 3 levels.

## Item 2 Educational Background and Business Experience

Mr. Mark Lozina, CFA<sup>3</sup>

Born 1971

B.S., State University of New York

M.B.A., University of Rochester

PNC Capital Advisors, 2002 to Present

## Item 3 Disciplinary History

There are no disciplinary items to disclose.

## Item 4 Other Business Activities

Mr. Lozina has no investment-related business activities other than his employment at PNC Capital Advisors, LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

Mr. Lozina does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors, LLC for providing advisory services to clients of the firm.

## Item 6 Supervision

Mr. Sean Rhoderick supervises Mr. Lozina. Mr. Rhoderick's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Mr. Lozina in his capacity as Short and Ultra Short Lead Manager. Mr. Rhoderick may be contacted at (216) 222-3921.

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<sup>3</sup> The CFA (Chartered Financial Analyst) designation is issued by the CFA Institute. Candidate must meet one of the following prerequisites: undergraduate degree and 4 years of professional experience involving investment decision-making, or 4 years qualified work experience (full time, but not necessarily investment related) and are required to pass examinations for all 3 levels.

## Item 2 Educational Background and Business Experience

Mr. Richard P. Mears, CFA<sup>4</sup>  
Born 1974  
B.S., Miami University  
M.B.A., Case Western Reserve University

PNC Capital Advisors, 2004 to Present

## Item 3 Disciplinary History

There are no disciplinary items to disclose.

## Item 4 Other Business Activities

Mr. Mears has no investment-related business activities other than his employment at PNC Capital Advisors, LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

Mr. Mears does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors, LLC for providing advisory services to clients of the firm.

## Item 6 Supervision

Mr. Sean Rhoderick supervises Mr. Mears. Mr. Rhoderick's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Mr. Mears in his capacity as High Yield Lead Portfolio Manager. Mr. Rhoderick may be contacted at (216) 222-3921.

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<sup>4</sup> The CFA (Chartered Financial Analyst) designation is issued by the CFA Institute. Candidate must meet one of the following prerequisites: undergraduate degree and 4 years of professional experience involving investment decision-making, or 4 years qualified work experience (full time, but not necessarily investment related) and are required to pass examinations for all 3 levels.

## Item 2 Educational Background and Business Experience

Mr. Jeffrey Neer, CFA<sup>5</sup>

Born 1976

B.S., University of Delaware

M.B.A., Loyola University Maryland

PNC Capital Advisors, 2016 to Present

## Item 3 Disciplinary History

There are no disciplinary items to disclose.

## Item 4 Other Business Activities

Mr. Neer has no investment-related business activities other than his employment at PNC Capital Advisors, LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

Mr. Neer does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors, LLC for providing advisory services to clients of the firm.

## Item 6 Supervision

Mr. Mark Lozina supervises Mr. Neer. Mr. Lozina's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Mr. Neer in his capacity as Client Portfolio Manager. Mr. Lozina may be contacted at (216) 222-3921.

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<sup>5</sup> The CFA (Chartered Financial Analyst) designation is issued by the CFA Institute. Candidate must meet one of the following prerequisites: undergraduate degree and 4 years of professional experience involving investment decision-making, or 4 years qualified work experience (full time, but not necessarily investment related) and are required to pass examinations for all 3 levels.