



PNC Capital Advisors LLC  
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Fifth Floor - East  
Baltimore, MD 21202  
410-237-5672  
[www.pnccapitaladvisors.com](http://www.pnccapitaladvisors.com)

Form ADV Part 2B  
November 6, 2017

*Taxable Fixed Income Leadership Team*

Sean T. Rhoderick, Chief Investment Officer and  
Intermediate, Core, Core Plus and High Yield Lead  
Manager

Cynthia D. Cole, Custom Portfolios Lead Manager

Mark A. Lozina, Short & Ultra Short Lead Manager

Richard P. Mears, High Yield Co-Portfolio Manager

Richard J. Stevenson, Money Market Lead Manager

**This brochure supplement provides information about Mr. Rhoderick, Ms. Cole, Mr. Lozina, Mr. Mears and Mr. Stevenson that supplements the PNC Capital Advisors LLC brochure. You should have received a copy of that brochure. Please contact PCA at 410-237-5672 if you did not receive the PNC Capital Advisors LLC brochure or if you have any questions about the contents of this supplement.**

**Additional information about these individuals is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Educational Background and Business Experience

Mr. Sean T. Rhoderick, CFA<sup>1</sup>  
Born 1972  
B.S., James Madison University

PNC Capital Advisors 2004 to Present

## Item 3 Disciplinary History

There are no disciplinary items to disclose.

## Item 4 Other Business Activities

Mr. Rhoderick has no investment-related business activities other than his employment at PNC Capital Advisors LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

Mr. Rhoderick does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors LLC for providing advisory services to clients of the firm.

## Item 6 Supervision

Mr. Mark McGlone, the firm's President and Chief Investment Officer, supervises Mr. Rhoderick. Mr. McGlone's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Mr. Rhoderick and the Taxable Fixed Income Management Team. Mr. McGlone may be contacted at 410-237-5169.

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<sup>1</sup> The CFA (Chartered Financial Analyst) designation is issued by the CFA Institute. Candidate must meet one of the following prerequisites: undergraduate degree and 4 years of professional experience involving investment decision-making, or 4 years qualified work experience (full time, but not necessarily investment related) and are required to pass examinations for all 3 levels.

## Item 2 Educational Background and Business Experience

Ms. Cynthia D. Cole  
Born 1956  
B.S., Tri-State University  
M.B.A., Xavier University

PNC Capital Advisors 1999 to Present

## Item 3 Disciplinary History

There are no disciplinary items to disclose.

## Item 4 Other Business Activities

Ms. Cole has no investment-related business activities other than her employment at PNC Capital Advisors LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

Ms. Cole does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors LLC for providing advisory services to clients of the firm.

## Item 6 Supervision

Mr. Sean Rhoderick supervises Ms. Cole. Mr. Rhoderick's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Ms. Cole in her capacity as Custom Portfolios Lead Manager of the Taxable Fixed Income Management Team. Mr. Rhoderick may be contacted at 410-237-5293.

## Item 2 Educational Background and Business Experience

Mr. Mark Lozina, CFA<sup>2</sup>  
Born 1971  
B.S., State University of New York  
M.B.A., University of Rochester

PNC Capital Advisors 2002 to Present

## Item 3 Disciplinary History

There are no disciplinary items to disclose.

## Item 4 Other Business Activities

Mr. Lozina has no investment-related business activities other than his employment at PNC Capital Advisors LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

Mr. Lozina does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors LLC for providing advisory services to clients of the firm.

## Item 6 Supervision

Mr. Sean Rhoderick supervises Mr. Lozina. Mr. Rhoderick's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Mr. Lozina in his capacity as Short & Ultra Short Lead Manager of the Taxable Fixed Income Management Team. Mr. Rhoderick may be contacted at 410-237-5293.

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<sup>2</sup> The CFA (Chartered Financial Analyst) designation is issued by the CFA Institute. Candidate must meet one of the following prerequisites: undergraduate degree and 4 years of professional experience involving investment decision-making, or 4 years qualified work experience (full time, but not necessarily investment related) and are required to pass examinations for all 3 levels.

## Item 2 Educational Background and Business Experience

Mr. Richard P. Mears, CFA<sup>3</sup>  
Born 1974  
B.S., Miami University  
M.B.A., Case Western Reserve University

PNC Capital Advisors 2004 to Present

## Item 3 Disciplinary History

There are no disciplinary items to disclose.

## Item 4 Other Business Activities

Mr. Mears has no investment-related business activities other than his employment at PNC Capital Advisors LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

Mr. Mears does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors LLC for providing advisory services to clients of the firm.

## Item 6 Supervision

Mr. Sean Rhoderick supervises Mr. Mears. Mr. Rhoderick's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Mr. Mears in his capacity as High Yield Co-Portfolio Manager of the Taxable Fixed Income Management Team. Mr. Rhoderick may be contacted at 410-237-5293.

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<sup>3</sup> The CFA (Chartered Financial Analyst) designation is issued by the CFA Institute. Candidate must meet one of the following prerequisites: undergraduate degree and 4 years of professional experience involving investment decision-making, or 4 years qualified work experience (full time, but not necessarily investment related) and are required to pass examinations for all 3 levels.

## Item 2 Educational Background and Business Experience

Mr. Richard J. Stevenson  
Born 1972  
B.A., Baldwin-Wallace College  
M.B.A., Baldwin-Wallace College

PNC Capital Advisors 2002 to Present

## Item 3 Disciplinary History

There are no disciplinary items to disclose.

## Item 4 Other Business Activities

Mr. Stevenson has no investment-related business activities other than his employment at PNC Capital Advisors LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

Mr. Stevenson does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors LLC for providing advisory services to clients of the firm.

## Item 6 Supervision

Mr. Sean Rhoderick supervises Mr. Stevenson. Mr. Rhoderick's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Mr. Stevenson in his capacity as Money Market Lead Manager of the Taxable Fixed Income Management Team. Mr. Rhoderick may be contacted at 410-237-5293.