



PNC Capital Advisors LLC
PNC Harbor Place
One East Pratt Street
Fifth Floor - East
Baltimore, MD 21202
410-237-5672
www.pnccapitaladvisors.com

Form ADV Part 2B
March 16, 2018

Large Cap Advantage Equity Management Team

Douglas Roman, Managing Director

Mark Batty, Portfolio Manager

Steve Baumgarten, Portfolio Manager

Eric Pettway, Portfolio Manager

This brochure supplement provides information about all PNC Capital Advisors investment teams. It supplements the PNC Capital Advisors, LLC brochure. You should have received a copy of that brochure. Please contact Christopher Marcsisak at 412-762-2717 if you did not receive the PNC Capital Advisors LLC, brochure or if you have any questions about the contents of this supplement.

Additional information about these individuals is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Mr. Douglas Roman, CFA¹, CMT²
Born 1962
B.S., Pennsylvania State University
M.S., Drexel University

PNC Bank 2002-2008
Managing Director, PNC Capital Advisors 2008 to Present

Item 3 Disciplinary History

There are no disciplinary items to disclose.

Item 4 Other Business Activities

Mr. Roman has no investment-related business activities other than his employment at PNC Capital Advisors, LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

Item 5 Additional Compensation

Mr. Roman does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors, LLC for providing advisory services to clients of the firm.

Item 6 Supervision

Mr. Aneet Deshpande, the firm's Chief Investment Officer, supervises Mr. Roman. Mr. Deshpande's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Mr. Roman

¹ The CFA (Chartered Financial Analyst) designation is issued by the CFA Institute. Candidate must meet one of the following prerequisites: undergraduate degree and 4 years of professional experience involving investment decision-making, or 4 years qualified work experience (full time, but not necessarily investment related) and are required to pass examinations for all 3 levels.

² The CMT (Chartered Market Technician) is a professional designation issued by the Market Technicians Association that confirms proficiency in technical analysis of the financial markets. The CMT designation requires completion of an education program and examination series in technical analysis. Candidates who pass all three examination levels of the program can earn the Chartered Market Technician designation, which certifies that the individual is competent in technical analysis

and the Large Cap Advantage Equity Management Team. Mr. Deshpande may be contacted at 216-222-9188.

Item 2 Educational Background and Business Experience

Mr. Mark Batty, CFA³
Born 1961
B.S., Drexel University
M.B.A., Drexel University

PNC Bank, 2003 to 2008
PNC Capital Advisors 2008 to Present

Item 3 Disciplinary History

There are no disciplinary items to disclose.

Item 4 Other Business Activities

Mr. Batty has no investment-related business activities other than his employment at PNC Capital Advisors, LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

Item 5 Additional Compensation

Mr. Batty does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors, LLC for providing advisory services to clients of the firm.

Item 6 Supervision

Mr. Douglas Roman supervises Mr. Batty. Mr. Roman's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Mr. Batty in his capacity as co-portfolio manager of the Large Cap Advantage Equity Management Team. Mr. Roman may be contacted at 215-585-5106.

³ The CFA (Chartered Financial Analyst) designation is issued by the CFA Institute. Candidate must meet one of the following prerequisites: undergraduate degree and 4 years of professional experience involving investment decision-making, or 4 years qualified work experience (full time, but not necessarily investment related) and are required to pass examinations for all 3 levels.

Item 2 Educational Background and Business Experience

Mr. Steve Baumgarten

Born 1971

B.A., University of Pittsburgh

M.B.A., University of Pittsburgh, Katz School of Business

PNC Capital Advisors 2006 to Present

Item 3 Disciplinary History

There are no disciplinary items to disclose.

Item 4 Other Business Activities

Mr. Baumgarten has no investment-related business activities other than his employment at PNC Capital Advisors, LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

Item 5 Additional Compensation

Mr. Baumgarten does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors, LLC for providing advisory services to clients of the firm.

Item 6 Supervision

Mr. Douglas Roman supervises Mr. Baumgarten. Mr. Roman's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Mr. Baumgarten in his capacity as co-portfolio manager of the Large Cap Advantage Equity Management Team. Mr. Roman may be contacted at 215-585-5106.

Item 2 Educational Background and Business Experience

Mr. Eric Pettway, CFA⁴

Born 1970

B.S., Indiana University, Kelley School of Business

M.B.A., Indiana University, Kelley School of Business

PNC Bank, 2009 to 2017

PNC Capital Advisors 2017 to Present

Item 3 Disciplinary History

There are no disciplinary items to disclose.

Item 4 Other Business Activities

Mr. Pettway has no investment-related business activities other than his employment at PNC Capital Advisors, LLC to report and does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products.

Item 5 Additional Compensation

Mr. Pettway does not receive any economic benefit, such as sales awards or other prizes, from any person other than PNC Capital Advisors, LLC for providing advisory services to clients of the firm.

Item 6 Supervision

Mr. Douglas Roman supervises Mr. Pettway. Mr. Roman's supervision involves overseeing the effectiveness of investment research, portfolio construction, security selection, and client service by Mr. Pettway in his capacity as co-portfolio manager of the Large Cap Advantage Equity Management Team. Mr. Roman may be contacted at 215-585-5106.

⁴ The CFA (Chartered Financial Analyst) designation is issued by the CFA Institute. Candidate must meet one of the following prerequisites: undergraduate degree and 4 years of professional experience involving investment decision-making, or 4 years qualified work experience (full time, but not necessarily investment related) and are required to pass examinations for all 3 levels.